

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Citibank, N.A.

Application No./Patent No.: 7,254,557

Filed/Issue Date: August 7, 2007

Titled: Financial Services Payment Vehicle and Method

Citibank, N.A.

, a National Banking Association

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: C/Base, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 010108, Frame 0114, or for which a copy thereof is attached.

2. From: C/Base, Inc. To: Ecount, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: Ecount, Inc. To: Citibank, N.A.

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/John M. Harrington #25,592/

Signature

August 3, 2011

Date

John Harrington

Printed or Typed Name

Attorney of Record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 05:36 PM 11/22/2005  
FILED 05:19 PM 11/22/2005  
SRV 050954034 - 2739994 FILE

**CERTIFICATE OF AMENDMENT  
TO THE  
SECOND AMENDED AND RESTATED  
CERTIFICATE OF INCORPORATION  
OF  
C/BASE, INC.**

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C/Base, Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify:

FIRST: That at a meeting of the Board of Directors on September 14, 2005, a resolution was duly adopted setting forth a proposed amendment to the Second Amended and Restated Certificate of Incorporation of the Corporation, declaring said amendment to be advisable and calling for consideration of said proposed amendment by the Stockholders of the Corporation. The resolution is as follows:

RESOLVED, that the amendment of Article First of the Corporation's Second Amended and Restated Certificate of Incorporation to read in its entirety as follows (the "Amendment") is hereby proposed and declared to be advisable and in the best interests of the Corporation:

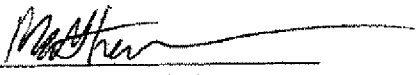
"FIRST. The name of the corporation is Ecount, Inc."

SECOND: That thereafter, pursuant to resolution of the Board of Directors, the proposed amendment was approved by a majority of the Stockholders of the Corporation by written consent dated as of November 21, 2005.

THIRD: That said Amendment was duly adopted in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the Corporation has caused this Certificate to be executed by the undersigned duly authorized officer as of this 21<sup>st</sup> day of November, 2005.

C/BASE, INC.

By:   
Name: Matthew J. Gillin  
Title: CEO & President

## ASSIGNMENT OF PATENT APPLICATIONS AND INVENTIONS

WHEREAS, **ECOUNT, INC.**, a corporation of the State of Pennsylvania, having its principal place of business at 1100 E. Hector Street, Lee Park, Suite 257, Conshohocken, PA 19428, Conshohocken, Pennsylvania 19428 (hereinafter "ASSIGNOR"), owns certain inventions and improvements disclosed in patent applications for Letters Patent and granted Letters Patent listed in attached Exhibit A; and

WHEREAS, **CITIBANK, N.A.**, a national banking association, having a place of business at 399 Park Avenue, New York, New York 10022 (hereinafter, "ASSIGNEE") is desirous of acquiring an interest in the same;

NOW, THEREFORE, for and in consideration of Five Dollars (\$5.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign, and transfer unto ASSIGNEE the full, exclusive, and entire right, title, and interest in and to said application, in and to any divisions, continuations, and reissues thereof, and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefore; and ASSIGNOR hereby requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissue thereof, to ASSIGNEE, as the assignee, for its interest and for the sole use and benefit of ASSIGNEE and its assigns and legal representatives.

For the same consideration, ASSIGNOR by these presents does sell, assign, and transfer to ASSIGNEE the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions,

treaties, statutes, or regulations, said foreign applications to be filed and issued in the name of the ASSIGNEE, or its designee insofar as permitted by applicable law.

AND, for the same consideration, ASSIGNOR agrees to sign all lawful papers, execute all divisional, continuing, reissue, and other applications, make all assignments and rightful oaths, and generally do everything possible to aid ASSIGNEE, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

ASSIGNOR further agrees that all necessary records of ASSIGNOR to establish priority of invention in any interference or similar proceeding will be made available at no additional charge to ASSIGNEE, in the event such records are needed in connection with any of the assigned Letters Patent or applications for Letters Patent.

IN WITNESS WHEREOF, the undersigned has caused this Assignment to be executed by its duly authorized officers and its seal to be affixed, this 27 day of July, 2011.

[Corporate Seal]

ASSIGNOR: ECOUNT, INC.

By: 

Name: JOHN M. KOHLER

[Corporate Seal]

ASSIGNEE: CITIBANK, N.A.

By: 

Name: JOHN M. KOHLER

# EXHIBIT A

SERIAL NO.	FILING DATE	PATENT NO.	ISSUE DATE	TITLE	Country
12/774,785	May 6, 2010			Transfer Instrument	U.S.
11/336,533	January 20, 2006	7,739,168	June 15, 2010	Transfer Instrument	U.S.
09/188,810	November 9, 1998	7,010,512	March 7, 2006	Transfer Instrument	U.S.
PCT/US1999/023502	October 13, 1999			Transfer Instrument	PCT
2,349,547	October 13, 1999			Providing a Credit Card to Another Person Where Said Person Does Not Have Possession of Said Card	Canada
09/302,218	April 29, 1999	7,254,557	August 7, 2007	Financial Services Payment Vehicle and Method	U.S.
PCT/US1999/030830	December 27, 1999			Financial Services Payment Vehicle and Method	PCT
2,374,311	December 27, 1999			Financial Services Payment Vehicle and Method	Canada